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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/703,261	10/31/2000	Paul G. Allen	4000.2.8

21552
MADSON & METCALF
GATEWAY TOWER WEST
SUITE 900
15 WEST SOUTH TEMPLE
SALT LAKE CITY, UT 84101



FORMALITIES LETTER



OC000000005670530

Date Mailed: 01/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$144.
 - \$144 for 8 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 984.

02/27/2001 SDENB01 00000058 09703261

01 FC:101
02 FC:103
03 FC:105

710.00 OP
144.00 OP
130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Hepler, D. T.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on February 20, 2001.

Attorney for Applicant



PATENT

Docket No. 4000.2.8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul G. Allen et al.)
)
Serial No.: 09/703,261)
)
Filed: October 31, 2000)
)
For: SYSTEMS AND METHODS FOR PERSONALIZED ACCESS)
TO INTERNET-ENABLED TELEVISION)

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner
for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed January 11, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Paul G. Allen, Anthony F. Istvan, and Armando P. Stettner;
- (2) an Assignment with a Recordation Form Cover Sheet;

- (3) a Fee Transmittal;
- (4) a Credit Card Payment Form in the amount of \$1,024 to cover the filing fee for the above-identified application, the filing of the Assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).
- (5) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763.

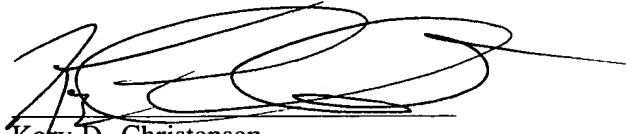
A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

Respectfully submitted,



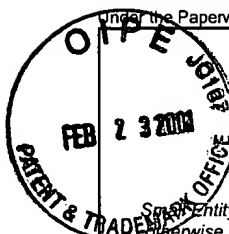
Kory D. Christensen
Reg. No. 43,548
Attorney for Applicants

Date: February 20, 2001

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Please type a plus sign (+) inside this box → ☐

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FEE TRANSMITTAL

Patent fees are subject to annual revision.

Small entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known

Application Number 09/703,261

Filing Date October 31, 2000

First Named Inventor Paul G. Allen

Group Art Unit

Examiner Name

TOTAL AMOUNT OF PAYMENT 1,024

Attorney Docket Number 4000.2.8

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 13-0763

Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☒ Other: Credit Card

FEE CALCULATION

1. FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) 710

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
28	-20 = 8	x 18 =	144
Ind. Claims 2	-3 = 0	x 80 =	0
Multiple Dep. Claims	0	x 135 =	0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) 144

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1390	218	695	Extension for reply within fourth month	
128	1890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - intentional	
141	1240	241	320	Petition to revive - unintentional	
142	1240	242	620	Utility issue fee	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee

SUBTOTAL (3) 170

SUBMITTED BY

Typed or Printed Name Kory D. Christensen

Signature

Date

Feb 20, 2001

Complete (if applicable)

Reg. Number 43,548

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.